

Complaints received by **STOCK BROKERS** for the month of June 2024

			Data for Eve	ery month	Ending – Jun	e 2024		
Sr. No	Received From Customers	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at t mor	Average Resolution time ^ (in days	
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	01	02	0	03	0	0	1
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	01	02	0	03	0	0	1

	Monthly Trend for Disposal of Complaints - FY 2024-25							
Sr. No.	Month	Carried forward from previous month	Received	Resolved *	Pending **			
1	Apr -24	0	13	13	0			
2	May-24	0	06	05	01			
3	Jun-24	01	02	03	0			
4	Jul-24	0	0	0	0			
5	Aug-24	0	0	0	0			
6	Sep-24	0	0	0	0			
7	Oct-24	0	0	0	0			
8	Nov-24	0	0	0	0			
9	Dec-24	0	0	0	0			
10	Jan-25	0	0	0	0			
11	Feb-25	0	0	0	0			
12	Mar-25	0	0	0	0			
	Grand Total	01	21	21	01			

- * Should include complaints of previous months resolved in the current month, if any
- ** Should include total complaints pending as on the last day of the month, if any.
- ^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month



Trend of Annual Disposal of Complaints – Trading							
SN	Year	Carried forward from previous year	Received during the year *	Resolved during the year	Pending at the end of the year		
1	2018-19	NA	NA	NA	NA		
2	2019-20	NA	NA	NA	NA		
3	2020-21	0	0	0	0		
4	2021-22	0	0	0	0		
5	2022-23	0	25	25	0		
6	2023-24	0	18	18	0		
7	2024-25	0	21	21	0		
	Grand Total	0	64	64	0		

^{*} Member ID enabled in the month of August, 2021



Complaints received by <u>DEPOSITORY PARTICIPANTS</u> for the month of June 2024:

			Data for every	month end	ing – June 2	024		
Sr. No	Received From Customers	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month **		Average Resolution time ^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	26	8	18	0	0	8
4	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	26	8	18	0	0	8

	Trend of monthly disposal of complaints – Depositories								
Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**				
1	Apr -24	01	0	01	0				
2	May-24	0	03	03	0				
3	Jun-24	0	26	18	8				
4	Jul-24	0	0	0	0				
5	Aug-24	0	0	0	0				
6	Sep-24	0	0	0	0				
7	Oct-24	0	0	0	0				
8	Nov-24	0	0	0	0				
9	Dec-24	0	0	0	0				
10	Jan-25	0	0	0	0				
11	Feb-25	0	0	0	0				
12	Mar-25	0	0	0	0				



- * Should include complaints of previous months resolved in the current month, if any
- ** Should include total complaints pending as on the last day of the month, if any.
- ^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

	Trend of annual disposal of complaints – Depositories							
Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year *	Pending at the end of the year			
1	2018-19	NA	NA	NA	NA			
2	2019-20	NA	NA	NA	NA			
3	2020-21	NA	NA	NA	NA			
4	2021-22	0	94	91	3			
5	2022-23	3	42	45	0			
6	2023-24	0	45	44	1			
7	2024-25	1	29	22	8			
	Grand Total	4	210	202	12			

^{*} CDSL DP ID was received in the month of April, 2021